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Attorney Docket No. 3294.0027

PATENT

N THE SWITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Thomas APPLE et al.

Serial No. 08/736,143

Group Art Unit: Unknown

Examiner: Unknown

Thomas APPLE et al.

ATTN: APPLICATION BRANCH

Thomas APPLE et al.

ATTN: APPLICATION BRANCH

Assistant Commissioner for Patents Washington, D.C. 20231

FINANCIAL INFORMATION

Sir:

DECLARATION IN SUPPORT OF THE PETITION FILED PURSUANT TO 37 C.F.R. §1.47(a)

The above-identified application was filed under the provisions of 37 C.F.R. §1.53(d). Subsequently a "Notice to File Missing Parts of Application - Filing Date Granted" was issued by the U.S. Patent and Trademark Office on January 14, 1997.

A copy of the declaration was sent to John Footen on July 1, 1997, as shown in Exhibit A, which includes a copy of the cover letter sent to Mr. Footen with the declaration, the Federal Express mailing instructions and the Federal Express Tracking Record that indicates that delivery of the letter was attempted by Federal Express on July 2, 1997. The package with the declaration was not signed for by John Footen and therefore was not returned signed by John Footen.

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT
& DUNNER, L. L. P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000

Assignee NASDAQ, through contractor Imtech Corporation, attempted to obtain John Footen's signature on the declaration before or soon after the filing of this application. However, Imtech Corporation is unable to locate copies of these letters at this time. Accordingly, the undersigned, along with Robert Yoches of the law firm of Finnegan, Henderson et al., attempted again to obtain Mr. Footen's signature at his last known address. As the evidence shows, this attempt was not successful.

Because John Footen has failed to join in the application, a declaration was executed by the remaining inventors. (See Exhibit B).

The last known address of John Footen is 117 Schmitz Terrace, Mt. Arlington, New Jersey 07856.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Bv.

Jennifer M. Colaianni Reg. No. 40,112

Dated: July 11, 1997

202-408-4000





Attorney Docket No. 03294.0027-00000

LARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: MEDIA WALL FOR DISPLAYING FINANCIAL INFORMATION, the specification of which is attached.

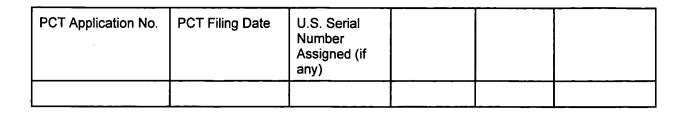
I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT International application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

Country	Application Number	Date of Filing	Priority Claimed Under
(if PCT indicate PCT)		(day, month, year)	35 USC 119

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty-to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

U.S. Applications			Status (Check	(One)
U.S. Application Number	U.S. Filing Date	Patented	Pending	Abandoned
60/009,941	January 16, 1996		х	
PCT App	ications Designating the U.S.			



I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., Reg. No. 22,540, Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25.857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilley, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsey, Reg. No. 28,165; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewris, Reg. No. 28,818; Martin 1. Fuchs, Reg. No. 28,508; E. Robert Yoches, Reg. No. 30,120; Barry W. Graham, Reg. No. 29,924; Susan Haberman Griffen, Reg. No. 30,907; Richard B. Racine, Reg. No. 30,415; Thomas H. Jenkins, Reg. No. 30,857; Robert E. Converse, Jr., Reg. No. 27,432; Clair X. Mullen, Jr., Reg. No. 20,348; Christopher P. Foley, Reg. No. 31,354; John C. Paul, Reg. No. 30,413; Roger D. Taylor, Reg. No. 28,992; David M. Kelly, Reg. No. 30,953; Kenneth J. Meyers, Reg. No. 25,146; Carol P. Einaudi, Reg. No. 32,220; Walter Y. Boyd, Jr., Reg. No. 31,738; Steven M. Anzalone, Reg. No. 32,095; Jean B. Fordis, Reg. No. 32,984; Barbara C. McCurdy, Reg. No. 32,120; James K. Hammond, Reg. No. 31,964; Richard V. Burgujian, Reg. No. 31,744; J. Michael Jakes, Reg. No. 32,824. Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., 1300 | Street, N.W., Washington, D.C. 20005, Telephone No. (202) 408-4000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full Name of Inventor Thomas Apple	Inventor's Signature	Date 9/27/96
Residence 4207 Aut 4297 31st Street, North, Arlington,	VA 22207-4113 UTA	Country of Citizenship USA
Post Office Address 4207 July 4297 31st Street, North, Arlington,	VA 22207-4113	

EXHIBIT A

Letter to Inventor Forwarding Declaration Federal Express Mailing Instructions Federal Express Tracking Record

LAW OFFICES

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L. L. P. 1300 I STREET, N. W. WASHINGTON, DC 2000S 202-408-4000

Full Name of Inventor	Date 9/26/
Residence 45 Minnisink Rd Short Hills	Country of Citizenship
Post Office Address 45 Minnisink Rd Short Hill	
Inventor's Signature Full Name of Inventor	Date
John Footen	
Residence	Country of Citizenship
117 Schmitz Terrace, Mt. Arlington, NJ 07856	USA
Post Office Address	
117 Schmitz Terrace, Mt. Arlington, NJ 07856	
I have to do a second	I Data
Full Name of Inventor	Date
Andrew Klein	/0/8/16
Andrew Riem	1 1
Residence	Country of Citizenship

46 Naples Road, #3, Brookline, MA 02143

Full Name of Inventor Paul Noble	Inventor's Signature	Date 9/26/96
Residence 45 Minnisink	Rd Short Hills Wom	Country of Citizenship
Post Office Address リイ MINNISINK	Rd Short Hills NJ	07078.

Full Name of Inventor John Footen	Inventor's Signature	Date	
Residence		Country of Citizenship	
117 Schmitz Terrace, Mt. Arlington, NJ 07856		USA	
Post Office Address			
117 Schmitz Terrace, Mt. Arlington	n, NJ 07856	·	

Full Name of Inventor Andrew Klein	Inventor's Signature	Date /0/8/96
Residence		Country of Citizenship
46 Naples Road, #3, Brookline	e, MA 02143	USA
Post Office Address		
46 Naples Road, #3, Brookline	e, MA 02143	



Attorney Docket No. 03294.0027-00000

OWER OF ATTORNEY

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled: MEDIA WALL FOR DISPLAYING FINANCIAL INFORMATION, the specification of which is attached.

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Residence 4207 Aut 4297 31st Street, North, Arlington,	VA 22207-4113	Country of Citizenship USA
Post Office Address 4207 JLA 4297 31st Street, North, Arlington,	VA 22207-4113	

Full Name of Inventor Paul Noble	Inventor's Signature	Date 9/26/16
Residence 45 Minnisink	Rd Short Hills NJome	Country of Citizenship
Post Office Address 45 MINNISINK	Rd Short Hills NJ	07078.

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Residence		Country of Citizenship		
117 Schmitz Terrace, Mt. Arlington, NJ 07856		USA		
Post Office Address				
117 Schmitz Terrace, Mt. Arlingto	on, NJ 07856			

Full Name of Inventor Andrew Klein	Inventor's Signature	Date /0/8/96
Residence	- Chi	Country of Citizenship
46 Naples Road, #3, Brookline, MA 02143		USA
Post Office Address		
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EXHIBIT B

Declaration

LAW OFFICES

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L. L. P. 1300 I STREET, N. W. WASHINGTON, DC 20005 202-408-4000



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